

# Snell & Wilmer

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## **Dominic M. Hulse**

Partner | Denver

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## **Main Bio**

Dominic Hulse has nearly 20 years of international experience advising financial services and investment management clients on fund formations, corporate and securities matters, and derivatives transactions.

Licensed to practice in New York, Colorado and the United Kingdom, Dominic has counseled investment advisers, banks and financial institutions, private equity and hedge fund managers, and other corporate entities on compliance with regulations affecting a broad range of products and asset classes.

Dominic received his LL.M. from Georgetown University Law Center in 2010, his LPC from Nottingham Law School in 2003, and his LL.B. from the University of Bristol in 2002.

## **Representative Transactions**

### **Fund Formation and Asset Management**

- Represented real estate investment company on the structuring and formation of private investment funds
- Represented institutional asset manager on private fund structuring, regulatory, and contractual matters

### **Regulatory Matters**

- Advised international financial services regulatory consultant on U.S. private fund and advisory matters
- Advised institutional asset manager on derivatives transactional and regulatory matters

### **Prior In-House Representations**

- Advised on the structuring, launch and ongoing operation of a range of private and hedge funds
- Counseled clients on SEC and CFTC regulatory matters
- Negotiated service provider agreements, including fund administration and custody arrangements, and trading and derivatives transactional documentation

## **Education**

- Georgetown University Law Center (LL.M.)
  - Dean's List
- Nottingham Law School (LPC)

- University of Bristol (LLB, Law)

## Professional Memberships & Activities

- Association for Corporate Growth

## Representative Presentations & Publications

- “Key Considerations in Forming Private Funds” Author, IAA Compliance Corner Member Alert (June 8, 2023)
- “Raising Capital in the US – Navigating the Regulatory Landscape,” Co-Host, Bovill Webinar (June, 6 2023)
- “Raising Capital in the US – How to Navigate the Regulatory Landscape,” Co-Host, Bovill Webinar (February 24, 2023)
- “[SEC Division of Examinations Priorities for 2023](#)” Co-Author, Snell & Wilmer Legal Alert (February 24, 2023)
- “[Enforcement Action by the SEC Heightens Regulatory Uncertainty Surrounding Crypto Assets,](#)” Author, Snell & Wilmer Legal Alert (August 1, 2022)
- “Security-Based Swaps Considerations for Asset Managers,” Host, Investment Adviser Association Webinar (November 11, 2022)

## Previous Professional Experience

- Janus Henderson Investors, Managing Counsel, Senior Legal Counsel, Assistant Vice President (2015-2022)
- RS Investments, Counsel (2014-2015)
- Black Rock Inc., Director, Legal and Compliance (2011-2014)
- Potomac River Capital LLC, Head of Legal and Compliance (2010-2011)
- Dechert LLP, Associate, (2003-2009)

## Bar Admissions

- Colorado
- New York